JUL 0 7 2004

Approved for use through 07/31/2008 OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Certificate of Transmission under 37 CFR 1.8



I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on <u>July 7, 2004</u>.

Date

Signature

Taryn Antalek

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

In re: Jen et al. USSN: 09/464,478 Filed: March 4, 2002

For: METHODS FOR THE DIAGNOSIS AND TREATMENT OF LUNG

CANCER

Examiner: Stephen L. Rawlings

Art Unit: 1642

Transmitted herewith:

Transmittal (1 sheet); Fee Transmittal (in duplicate); Response to Restriction Requirement (5 sheets); Petition for Extension of Time (in duplicate)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/21 (02-04)
Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

09/646.478 Application Number TRANSMITTAL March 4, 2002 Filing Date FORM Jen et al. First Named Inventor (to be used for all correspondence after initial filling) Art Unit **Examiner Name** Stephen L. Rawlings GA0130C Attorney Docket Number Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form Drawing(s) Group Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Petition Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Terminal Disclalmer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Express Abandonment Request CD, Number of CD(s)_ Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Jennifer D. Tousignant **GENZYME CORPORATION** Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

This collection of information is required by St CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality laylonerned by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Alexandria, VA 22313-1450 on the data shown below.

TARYN ANTALÆ

Typed or printed name

Patent

Examiner: Stephen L. Rawlings

Our Docket: GA0130C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 1642

In re Application of:

Jin Jen et al.

Serial No.: 09/646,478

Filed: March 4, 2002

For: METHODS FOR THE DIAGNOSIS

AND TREATMENT OF LUNG CANCER

Commissioner for Patents P.O. Box 1450 Alexandria, VA22313-1450

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE FACSIMILE NUMBER (703) 872-9306 ON

July 7, 2004

Date

July 7, 2004

Printed Name of Person Signing Certificate

Request for Reconsideration of Restriction Requirement under 37 C.F.R. §1.143

This communication is being filed in response to a Restriction Requirement mailed April 7, 2004 in connection with the above-identified application. A Response to the Restriction Requirement was originally due on May 7, 2004. As part of this communication, Applicant is filing a Petition for a Two Month Extension of Time, thereby extending the deadline to file a response to July 7, 2004. Accordingly, this Response is timely filed.

Page 2

Remarks:

Claims 1-30 are pending in the subject application and are subject to a restriction requirement.

Requirement for Restriction under 35 U.S.C. 121 and 372

In the April 7, 2004 Office Action, the Office required restriction under 35 U.S.C. § 121 and 372 to elect a single invention to which the claims must be restricted:

Group I, claim(s) 1, 2, 6, 7, 11, and 30, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence of overexpressed b-myb in a lung cell sample, wherein the presence of an overexpressed proto-oncogene is determined by detecting the quantity of mRNA transcribed from the proto-oncogene, and a kit for practicing said method.

Group II, claim(s) 1, 3, 6, 8, II, and 30, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence of overexpressed PGP9.5 in a lung cell sample, wherein the presence of an overexpressed proto-oncogene is determined by detecting the quantity of mRNA transcribed from the proto-oncogene, and a kit for practicing said method.

Group III. claim(s) 1, 4, 6, 9, 11, and 30, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence of overexpressed 8-oxo-dGTPase in a lung cell sample, wherein the presence of an overexpressed proto-oncogene is determined by detecting the quantity of mRNA transcribed from the proto-oncogene, and a kit for practicing said method.

Group IV, claim(s) 1, 5, 6, 10, 11, and 30, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence

of overexpressed p67 in a lung cell sample, wherein the presence of an overexpressed protooncogene is determined by detecting the quantity of mRNA transcribed from the proto-oncogene, and a kit for practicing said method.

Group V, claim(s) 1, 2, 12, and 13, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence of overexpressed b-myb in a lung cell

Page 3

sample, wherein the presence of an overexpressed proto-oncogene is determined by detecting the quantity of the polypeptide or protein encoded by the proto-oncogene.

Group VI, claim(s) 1, 3, 12, and 13, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence of overexpressed PGP9.5 in a lung cell sample, wherein the presence of an overexpressed proto-oncogene is determined by detecting the quantity of the polypeptide or protein encoded by the proto-oncogene.

Group VII, claim(s) 1, 4, 12, and 13, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence of overexpressed 8-oxo-dGTPase in a lung cell sample, wherein the presence of an overexpressed proto-oncogene is determined by detecting the quantity of the polypeptide or protein encoded by the proto-oncogene.

Group VIII, claim(s) 1, 5, 12, and 13, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence of overexpressed p67 in a lung cell sample, wherein the presence of an overexpressed proto-oncogene is determined by detecting the quantity of the polypeptide or protein encoded by the proto-oncogene.

Group IX, claim(s) 14 and 15, drawn to a screen for a potential therapeutic agent for the reversal of a neoplastic condition of the lung characterized by the overexpression of b-myb.

Group X, claim(s) 14 and 16, drawn to a screen for a potential therapeutic agent for the reversal of a neoplastic condition of the lung characterized by the overexpression of PGP9.5.

Group XI, claim(s) 14 and 17, drawn to a screen for a potential therapeutic agent for the reversal of a neoplastic condition of the lung characterized by the overexpression of 8-oxo-dGTPase.

Group XIII, claim(s) 14 and 18, drawn to a screen for a potential therapeutic agent for the reversal of a neoplastic condition of the lung characterized by the overexpression of –67.

Group XIII, claim(s) 19, 20, and 24, drawn to a method for reversing a neoplastic condition of the lung characterized by the overexpression of b-myb.

Group XIV, claim(s) 19, 21, and 24, drawn to a method for reversing a neoplastic condition of the lung characterized by the overexpression of PGP9.5.

Page 4

Group XV, claim(s) 19, 22, and 24, drawn to a method for reversing a neoplastic condition of the lung characterized by the overexpression of 8-oxo-dGTPase.

Group XVI, claim(s) 19, 23, and 24, drawn to a method for reversing a neoplastic condition of the lung characterized by the overexpression of ~67.

Group XVII, claim(s) 25 and 29, drawn to a probe or a primer, or a solid support comprising said probe or primer, wherein said probe or primer comprises SEQ ID NO: 1 or its complement.

Group XVIII, claim(s) 26 and 29, drawn to a probe or a primer, or a solid support comprising said probe or primer, wherein said probe or primer comprises SEQ ID NO: 2 or its complement.

Group XIX, claim(s) 27 and 29, drawn to a probe or a primer, or a solid support comprising said probe or primer, wherein said probe or primer comprises SEQ ID NO: 3 or its complement.

Group XX, claim(s) 28 and 29, drawn to a probe or a primer, or a solid support comprising said probe or primer, wherein said probe or primer comprises SEQ ID NO: 4 or its complement.

Provisional election with traverse in accordance with 37 C.F.R. § 1.143

In compliance with 37 C.F.R. § 1.143, Applicants elect with traverse Group VI, claim(s) 1, 3, 12, and 13, drawn to a method for aiding in the diagnosis of a neoplastic condition of the lung comprising detecting the presence of overexpressed PGP9.5 in a lung cell sample, wherein the presence of an overexpressed proto-oncogene is determined by detecting the quantity of the polypeptide or protein encoded by the proto-oncogene.

Request for reconsideration of restriction requirement under 37 C.F.R. § 1.143

Applicants respectfully request a reconsideration and modification of this restriction requirement to the extent that it requires restriction to a single proto-oncogene from the Markush groups in claims 1, 14, and 30. The Office has failed to properly apply restriction practice under PCT Rules 13.1 and 13.2 with respect to the Markush groups present in claims 1, 14, and 30.

Page 5.

PCT Rules 13.1 and 13.2 require a single general inventive concept to find unity of invention of claims. According to PCT Rule 13.2, this requirement can be satisfied where there is a technical relationship involving one or more special technical features. As this relates to Markush-type claims, this requirement is met when the alternatives present in the Markush-type claim are of a similar nature. (MPEP §1850 D)

Claims 1, 14, and 30 contain Markush groups that define alternative genes useful in the practice of the claimed inventions. Applicants have discovered that these genes are proto-oncogenes. These genes are thus similar in nature because 1) they are over-expressed in lung cancer cells and 2) their overexpression is indicative of the neoplastic state of a lung cell. Applicants assert that the similar nature of these Markush group members satisfies PCT Rule 13.2 and that the restriction to a single proto-oncogene is improper.

Since the unity of invention required under PCT 13.2 is satisfied, Applicants respectfully request modification of the restriction requirement to reflect the Office's national restriction practice with respect to Markush-type claims that are directed to independent and distinct inventions in U.S. national applications filed under 35 U.S.C. § 111 outside the PCT. Applicants respectfully suggest that the restriction requirement be modified to require a provisional election of a single, patentably distinct species of proto-oncogene in the claimed methods with respect to which the Markush claim will be fully examined to determine patentability and where the search of the Markush group will be extended to additional members should no prior art be found that anticipates or renders obvious the elected proto-oncogene.

If this requirement is not modified and is made final by the Examiner, Applicants further reserve the right to petition from requirement for restriction under 37 C.F.R. §1.144.

Conclusion:

No fee is deemed necessary in connection with the filling of this communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 07-1074.

Respectfully submitted

Date

Jennifer D. Tousignant Agent for Applicants

Registration No. 54,498
Telephone: (508) 270-2499

Facsimile: (508) 872-5415

GENZYME CORPORATION
15 Pleasant Street Connector

P.O. Box 9322

Framingham, Massachusetts 01701-9322